
Name of Organization: Headwaters Charitable Trust

Type of Organization: Other

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Headwaters Charitable Trust

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Project Title: Forest Conservation through certification

Project Category: Habitat (Ecological) Protection and Rest

Rank by Organization (if applicable): 1

Total Funding Requested (\$): 267,300 **Project Duration:** 1.5 Years

Abstract:

Protecting forests through market driven incentives has become a new approach to sustainability. SmartWood certification is the oldest and leading third party independent forest certification program in the world. A focused effort to assess managed forests throughout the great lakes region will be made by the Canadian US SmartWood network(CUSA). CUSA is a network of regionally based non-profits that work under the accreditation of the Rainforest Alliance by the international Forest Stewardship Council(FSC).

Markets for FSC certified forest products are being sold in retail outlets across America, including Home Depot and IKEA. It is expected that over 50,000 acres of forests in MI, PA, NY, OH, MN, WI, IN and over 30 companies will be certified through this project

Geographic Areas Affected by the Project

States:

<input checked="" type="checkbox"/> Illinois	<input checked="" type="checkbox"/> New York
<input checked="" type="checkbox"/> Indiana	<input checked="" type="checkbox"/> Pennsylvania
<input checked="" type="checkbox"/> Michigan	<input checked="" type="checkbox"/> Wisconsin
<input checked="" type="checkbox"/> Minnesota	<input checked="" type="checkbox"/> Ohio

Lakes:

<input type="checkbox"/> Superior	<input type="checkbox"/> Erie
<input type="checkbox"/> Huron	<input type="checkbox"/> Ontario
<input type="checkbox"/> Michigan	<input checked="" type="checkbox"/> All Lakes

Geographic Initiatives:

<input checked="" type="checkbox"/> Greater Chicago	<input checked="" type="checkbox"/> NE Ohio	<input type="checkbox"/> NW Indiana	<input type="checkbox"/> SE Michigan	<input type="checkbox"/> Lake St. Clair
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Primary Affected Area of Concern: All AOCs

Other Affected Areas of Concern:

For Habitat Projects Only:

Primary Affected Biodiversity Investment Area: All BIAs

Other Affected Biodiversity Investment Areas:

Problem Statement:

Forests are the most cost effective method of retaining carbon and pollutants in the region. Market incentives that employ a variety of methods to reward landowners voluntarily managing and conserving a forest landscape are needed. Recent work with the FSC- accredited SmartWood program offer hope that landowners will subject their management to a credible third party independent assessment of forest management to an international standard. FSC standards include ecological, social, and economic standards that are verified by an interdisciplinary team of experts during an intensive field review of actual performance..

Proposed Work Outcome:

Over 50,000 acres will be assessed. Over 30 companies purchasing certified forest products will be audited for chain of custody certification. Additional meetings with builders, architects, and manufacturers will be held throughout the region, including marketing assistance to newly certified companies. Certified forests and companies are audited annually to verify conformance with contract conditions set forth as a result of the assessment.

Project Milestones:

Dates:

Project Start	09/2000
Solicit forest landowners	09/2000
Contract with landowners	09/2001
Complete assessments	10/2001
Complete Chain of custody audits	10/2001
Marketing assistance	06/2002
	/
Project End	06/2002

☒ Project Addresses Environmental Justice

If So, Description of How:

Many forest landowners are in low income status. Offering financial and social recognition for outstanding environmental stewardship will address a very disenfranchised population

☒ Project Addresses Education/Outreach

If So, Description of How:

The publicity for certifications will occur in major media outlets in metropolitan areas. The recent full page add for the NY public lands certification by CUSA is an indication of the style and impact this project has the potential to achieve.

Project Budget:

	Federal Share Requested (\$)	Applicant's Share (\$)
Personnel:	160,000	20,000
Fringe:	40,000	6,000
Travel:	20,000	0
Equipment:	0	0
Supplies:	3,000	0
Contracts:	20,000	0
Construction:	0	0
Other:	0	0
Total Direct Costs:	243,000	26,000
Indirect Costs:	24,300	0
Total:	267,300	26,000
Projected Income:	20,000	0

Funding by Other Organizations (Names, Amounts, Description of Commitments):

Heinz Endowments- \$18,500 grant-approved
Gund Foundation \$7,500 grant-approved
Landowners and companies(\$20,000)

Description of Collaboration/Community Based Support:

Northland College(MN,MI,IL)
Northeast Natural Resource Center(NY)
Headwaters Charitable Trust(NY,PA,OH,IN)
Rainforest Alliance- accreditation licensee
Westwind- Canadian partner
NY_DEC
PA DCNR